

FEDERAL WAIVER COVER SHEET
CALIFORNIA STATE BOARD OF EDUCATION
NOVEMBER 2003 AGENDA
Item No. W-6

TITLE: Request by Placentia-Yorba Linda Unified School District to waive No Child Left Behind Act (NCLB); Title IV, Part A, Section 4115 (a)(1)(C) to use Safe and Drug Free Schools and Communities funds to support the cost of Here's Looking At You a kindergarten through sixth grade prevention program.	<u> X </u> ACTION <u> </u> INFORMATION <u> </u> PUBLIC HEARING <u> </u> CONSENT
CDSIS: Fed-06-2003	

RECOMMENDATION:

The Department recommends:

- Approval
 Denial

Summary of Previous State Board of Education Discussion and Action:

The SBE previously adopted policy 03-01 that requires a program or activity supported with Safe and Drug Free School and Communities (SDFSC) funds to meet the principles of effectiveness. Attachment A of the policy lists those programs that provide scientific evidence that the program reduces violence or illegal drug use as required by Title IV, Part A Section 4115. The **Here's Looking At You** program is not on the Attachment A list, and does not meet the other criteria for waiver, so it is presented for Action.

Summary of Key Issue(s):

The waiver application from the **Placentia-Yorba Linda USD** regarding the **Here's Looking At You** program has been reviewed to ensure compliance with the three major criteria described in SBE policy 03-01 that must be met in order for the waiver to be approved by the board. The waiver application's success in meeting each of the three criteria is described as follows:

Is the program innovative?

The applicant has been using the program for 10 years. The program has been in existence since 1992 and cannot be considered a new program. The California Department of Education's publication, *Getting Results Update 2: Assessing the Effectiveness of Classroom Based Prevention Programs, 2001*, summarized the results from two published and seven unpublished studies that previously evaluated the Here's Looking At You (HLAY) program. The program's 10 year evaluation history further underscores **that this is a traditional program rather than innovative**. The program includes concepts related to providing students with current information, opportunities to bond, and social skills common to many prevention curriculums based on the social influences model. Given the wide availability of social influences based programs, Here's Looking At You **does not meet the State Board's criteria for being innovative compared to other programs**.

Does the program demonstrate substantial likelihood of success?

Previously, Dr. Denise Hallfors, Ph.D., University of North Carolina, Chapel Hill, reviewed the two published and seven unpublished studies of Here's Looking At You available at that time for a report in *Getting Results, Update 2* (2001). Dr. Hallfors concluded that, "because of the lack of peer-reviewed studies and the weakness of unpublished study designs, Here's Looking At You should not be considered a research-based program that works."

The California Department of Education has asked the California Healthy Kids Resource Center director, Deborah Wood, Ph.D., to acquire a copy of the latest Here's Looking At You evaluation conducted by Farley and Associates (April 2003) and based on the scientific evidence presented by the evaluation determine if the program demonstrates substantial likelihood of success. Dr. Wood's conclusion is that, "the present evaluation of HLAY does not provide valid and reliable evidence of effectiveness, especially on students' substance-use behaviors. Without peer-reviewed studies on the impact of HLAY and given the design weaknesses and lack of instrumentation reliability data of the Farley and Associates (April, 2003) unpublished study, there is not available evidence at this time to change the conclusions reported in *Getting Results, Update 2* (2001)." **The Here's Looking At You program does not meet the State Board's criteria for demonstrating the likelihood of success.** The full text of Dr. Wood's report is attached.

Is there a plan and timeline for submitting the program for review and recognition?

The applicant has confirmed that the program developer is submitting the evaluation for publication in a peer-review journal in the fall of 2003. The applicant did not provide a plan or timeline for submitting the program for review as required by the State Board's criteria. However, other applicants wanting to use Here's Looking At You have indicated that the developer will submit the program to both the California Healthy Kids Resource Center and the Center for Substance Abuse Prevention Model Programs in October 2003.

Waiver Authority: NCLB, Title IV, Part A, Section 4115(a)(3)

Local board approval date: 9-09-03

Effective dates of request: 7-1-03 to 6-30-05

Fiscal Analysis (as appropriate): Waiver denial will increase the amount of NCLB, Title IV, Part A funds available to support science-based and proven-effective alcohol, tobacco, other drug and violence prevention programs consistent with the LEA's approved LEAP.

Background Information:

Documentation is attached to this Summary